

VFC Securities Private Limited

Regd. Off: 1st floor, Nusser House, Opp. Roxy Theatre, Opera House, Mumbai – 400004.
 Corr. Add: 142, Shreeji Chambers, 1.5 Floor, Tata Road No. 2, Opera House, Mumbai – 400 004.
 Tel. No → 91-22-40043395, Tel/Fax No → 91-22-35010694

Email → vfc@vfc.co.in, Website → <https://www.vfc.co.in>, Investor Grievance → complaints@vfc.co.in
 CEO → Nimish Shah, Compliance Officer → Ms.Pooja Chauhan. Contact No → 022-40043395, Email → nimish@vfc.co.in, pooja@vfc.co.in

MEMBERS OF

NSE CASH – NSE DERIVATIVES – NSE CURRENCY – BSE CASH
NSDL - DP

SEBI REGISTRATION NO.

INZ 000250133
IN-DP-605-2021

DATE.

29/03/2021
18/08/2021

(This information is the sole property of the member/ brokerage house and would not be disclosed to any one unless required by law or in case of express permission of clients.)

For any grievance/ dispute please contact VFC Securities Private Limited at the above Address, Email ID - complaints@vfc.co.in and Tel No. - 91-22-40043395.

In case not satisfied with the response, please refer the below exchange(s), Investor Grievance Email – ID and Phone No.:

NSE	ignse@nse.co.in (022) 2659 8190	BSE	is@bseindia.com (022) 2272 8097	MCX-SX	investorcomplaints@mcx-sx.com (022) 6731 8933 / 9000
------------	--	------------	--	---------------	---

INDEX**MANDATORY DOCUMENTS FOR DEMAT ACCOUNT OPENING**

Sr.	Name of the Document	Brief Significance	Page	Client	Broker	Sub-broker	Introducer	2nd Holder	3rd Holder
1.	KYC account opening form	PART-I -KYC form - Document captures the basic information about the constituent and an instruction/ check list.	5-6	1-4					
2	KYC form for Second Holder	PART-I for SECOND HOLDER – KYC form - Document captures the basic information about the constituent and an instruction/ check list.	7-8	1-4				1-4	
2	Details of Joint Holder	PART –II ACCOUNT OPENING FORM -Details of 2 nd & 3 rd Holder, NRIClients and Guardian for Minor, Bank Details	9	5				5	1
3	Photos, signature & Nomination	PART II AOF Cont. Standing Instructions, SMS alerts, mode of receiving statement, Nomination Details and Nominees Photograph- also Signature for non-Nomination clause if any.	10-11	6			1	6	2
4	Bank, Demat & Other Details	Photograph of all holders, SEBI Action and Declaration	12-13	7-8				7-8	3-4
5	Rights and Obligation	Rights and Obligation of Beneficial Owner and DP as prescribed	14-15	9-10				9-10	5-6

Clearing Member for Derivatives & Currency Segments of all the Exchanges:
 Globe capital Market Limited
 609, Ansal Bhavan, 16 K. G. Marg,
 New Delhi – 110 001
 Tel No: 91-11-43666400
 Fax No: 91-11-23720883
 Email: compliance@globecapital.com
SEBI REGN. NOS.
INZ 000177137

6.	BSDA	Option for Opening of BSDA Account	16	11								
7	Schedule -A	Schedule of DP Charges as applicable to Beneficial Owner	17	12					11			7
VOLUNTARY DOCUMENTS FOR DEMAT ACCOUNT OPENING ONLY												
8	Power of Attorney	DDPI for Security Pay-In, Margin, Buy Back & MF	18-19	13-17	1				1			9-10+2
9	Consent for Electronic Statements	Consent Agreement for Providing the Transaction Statement Through Internet	20	18-19	2				1			11-12

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - *List of documents admissible as Proof of Identity:*

1. Unique Identification Number (UID) (Aadhar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - *List of documents admissible as Proof of Address:*

*(*Documents having an expiry date should be valid on the date of submission.)*

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

*(*Sufficient documentary evidence in support of such claims to be collected.)*

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Partnership Firm	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/ List of coparceners. <input type="checkbox"/> Bank pass-book/bank statement in the name of HUF. <input type="checkbox"/> Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body & Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<input type="checkbox"/> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Army/ Government Bodies	<input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Registered Society	<input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorised to act as authorised signatories with specimen signatures. <input type="checkbox"/> True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

PART-I KNOW YOUR CLIENT (KYC) & CKYC & FATCA-CRS Declaration FORM – INDIVIDUAL

NEW CHANGE REQUEST (Please Tick appropriate)

CKYC No : _____ **A/C Type** Normal Simplified (for low risk customers) Small

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

Acknowledgement No.

A. IDENTITY DETAILS

Please affix your Recent Passport size Photograph and sign across it.

1FH **19FH**

1a. Name of the Applicant _____

1b. Maiden Name (If Any) _____

2a. Father / Husband name _____

2b. Mothers Name _____

3a. Gender

Male

Female

Transgender

3b. Marital Status

Single

Married

Others

Dt of Birth _____

Place & country of Birth _____

ISO 3166 Country code _____

2FH **19FH**

4a. Nationality

Indian

Others (Please Specify ISO 3166 Country code) _____

X _____

4b. Status

Resident Individual

Non Resident

Foreign National

Person of Indian origin

5. Specify the proof of Identity submitted

PAN CARD _____

UID (Aadhar) **XXXX** _ _ _ _

Passport Number _____ Expiry date : _____

Voter ID Card _____

Driving License _____ Expiry date : _____

NREGA Job Card _____

Others (any document notified by the central government) _____

Simplified Measures Account - Document Type code _____

B. ADDRESS DETAILS Current / Permanent / Overseas Address details

(All communication will be sent on provided mobile no / email no)

B1. Residence/Corr. Add (Please specify whether: Residential- Buss / Residential / Business / Regd. Office / Unspecified) :

City/ Town/Village

Pin Code

State

Country & ISO 3166 Country code

B1.1. Specify The Proof Address submitted for Correspondence Address:

Contact details:

Tel (Office) _____

Tel(Residence) _____

Fax No: _____

Mobile No: _____

Email Id: _____

B2. Permanent Address: (If Different from above or Mandatory for Non-Resident Applicant to specify overseas Address)

City/ Town/Village

Pin Code

State

Country & ISO 3166 Country code

B3. Address in the jurisdiction details where applicant is resident outside india for tax purposes

City/ Town/Village

Pin Code

State

Country & ISO 3166 Country code

B3.1. Specify the proof of Add. Submitted for Permanent Address:

C. OTHER DETAILS			
1. Gross Annual Income		<input type="checkbox"/> < 1 Lac <input type="checkbox"/> 1-5 lac <input type="checkbox"/> 05-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> More than 25 Lac	
Net worth (Net worth should not be older than 1 year): _____ As on Date _____ / _____ / _____			
2. Occupation (Please tick anyone & give brief details)		<input type="checkbox"/> S-Services (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service) <input type="checkbox"/> Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Agriculturist <input type="checkbox"/> Forex Dealer) <input type="checkbox"/> B- Business or <input type="checkbox"/> X -Not Categorised _____ <input type="checkbox"/> Not-applicable	
Please tick, if applicable		<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) <input type="checkbox"/> NA	
D. DETAILS OF RELATED PERSON			
<input type="checkbox"/> Addition of related person <input type="checkbox"/> Deletion of related person KYC Number of related person (if available*)			
Related person type : <input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorised representative			
Name : _____ (Prefix) (First Name) (Middle Name) (Last Name)			
D1. POI (Proof of identity) of related person		<input type="checkbox"/> PAN CARD <input type="checkbox"/> UID (Aadhar) <input type="checkbox"/> Passport Number <input type="checkbox"/> Voter ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> NREGA Job Card <input type="checkbox"/> Others (any document notified by the central government) Id number of document provided _____ Expiry date if applicable _____	
D2. ARE YOU A TAX RESIDENT OF ANY COUNTRY OTHER THAN INDIA			<input type="checkbox"/> Yes <input type="checkbox"/> No
D2aa RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA			
ADDITIONAL DETAILS REQUIRED* (Mandatory only if section D2 is ticked Yes)			
Country & ISO 3166 Country code of Jurisdiction of residence		Tax identification Number or equivalent	Identification type (TIN or others, please specify)
			If TIN is not available, Please tick the A,B or C (As defined below)
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents. Reason B - No TIN required. (Select this reason only if the authorities of the respective country of tax residence do not require the TIN be collected) Reason C - Others; Please state the reason thereof.			
Any other information (KYC and CKYC)			
D. DECLARATION I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby consent to receiving information from the Central KYC registry through SMS/Email on the above registered mobile no / Email ID. Date: _____ / _____ / 202____ Place: Mumbai			3FH 19FH
E. CERTIFICATION (FATCA-CRS): I / We have understood the information requirements of this Form (Read along with the FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA and CRS terms and conditions below and hereby accept the same Date : _____ / _____ / 202____ Place : Mumbai			4FH 19FH
FATCA *& CRS Terms and conditions			
Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. It is important that you respond to our request, even if you believe you have already supplied any previously requested information.			
FOR OFFICE USE ONLY: In Person Verification (IPV) Details:			
Name of the Person who has done IPV: _____ Designation: _____ Employee ID: _____ Name of the Organisation: VFC Securities Private Ltd Date of IPV: _____ / _____ / _____. (CKYC code of Organization : IN1258)		Seal/Stamp and signature of the Intermediary	
<input type="checkbox"/> (Originals verified) True copies of documents received <input type="checkbox"/> (Self-Attested) Self Certified Document copies received			

PART-I KNOW YOUR CLIENT (KYC) & CKYC & FATCA-CRS Declaration FORM – INDIVIDUAL

NEW CHANGE REQUEST (Please Tick appropriate) **FOR SECOND HOLDER ONLY**

CKYC No : _____ **A/C Type** Normal Simplified (for low risk customers) Small

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

Acknowledgement No.

A. IDENTITY DETAILS

Please affix your Recent Passport size Photograph and sign across it.

1SH **19SH**

1a. Name of the Applicant _____

1b. Maiden Name (If Any) _____

2a. Father / Husband name _____

2b. Mothers Name _____

2SH **19SH**

3a. Gender	Male <input type="checkbox"/>	3b. Marital Status	<input type="checkbox"/> Single	Dt. of Birth Place & country of Birth ISO 3166 Country code	_____
	Female <input type="checkbox"/>		<input type="checkbox"/> Married		_____
	Transgender <input type="checkbox"/>		<input type="checkbox"/> Others		_____

4a. Nationality Indian Others (Please Specify ISO 3166 Country code) _____

X _____

4b. Status Resident Individual Non Resident Foreign National Person of Indian origin

5. Specify the proof of Identity submitted

- PAN CARD _____
- UID (Aadhar) **XXXX XXXX** _ _ _ _
- Passport Number _____ Expiry date : _____
- Voter ID Card _____
- Driving License _____ Expiry date : _____
- NREGA Job Card _____
- Others (any document notified by the central government) _____
- Simplified Measures Account - Document Type code _____

B. ADDRESS DETAILS Current / Permanent / Overseas Address details
(All communication will be sent on provided mobile no / email no)

B1. Residence/Corr. Add (Please specify whether: Residential- Buss / Residential / Business / Regd. Office / Unspecified) :

City/ Town/Village	_____	Pin Code	_____	State	_____	Country & ISO 3166 Country code	_____
---------------------------	-------	-----------------	-------	--------------	-------	--	-------

B1.1. Specify The Proof Address submitted for Correspondence Address:

Contact details:	Tel (Office)	_____	Tel(Residence)	_____	
Fax No:	_____	Mobile No:	_____	Email Id:	_____

B2. Permanent Address: (If Different from above or Mandatory for Non-Resident Applicant to specify overseas Address)

City/ Town/Village	_____	Pin Code	_____	State	_____	Country & ISO 3166 Country code	_____
---------------------------	-------	-----------------	-------	--------------	-------	--	-------

B3. Address in the jurisdiction details where applicant is resident outside india for tax purposes

City/ Town/Village	_____	Pin Code	_____	State	_____	Country & ISO 3166 Country code	_____
---------------------------	-------	-----------------	-------	--------------	-------	--	-------

B3.1. Specify the proof of Add. Submitted for Permanent Address:

C. OTHER DETAILS			
1. Gross Annual Income		<input type="checkbox"/> < 1 Lac <input type="checkbox"/> 1-5 lac <input type="checkbox"/> 05-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> More than 25 Lac	
Net worth (Net worth should not be older than 1 year): _____ As on Date _____ / _____ / _____			
2. Occupation (Please tick anyone & give brief details)		<input type="checkbox"/> S-Services (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service) <input type="checkbox"/> Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Agriculturist <input type="checkbox"/> Forex Dealer) <input type="checkbox"/> B- Business or <input type="checkbox"/> X -Not Categorised _____ <input type="checkbox"/> Not-applicable	
Please tick, if applicable		<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) <input type="checkbox"/> NA	
D. DETAILS OF RELATED PERSON			
<input type="checkbox"/> Addition of related person <input type="checkbox"/> Deletion of related person KYC Number of related person (if available*)			
Related person type : <input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorised representative			
Name : _____ (Prefix) (First Name) (Middle Name) (Last Name)			
D1. POI (Proof of identity) of related person		<input type="checkbox"/> PAN CARD <input type="checkbox"/> UID (Aadhar) <input type="checkbox"/> Passport Number <input type="checkbox"/> Voter ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> NREGA Job Card <input type="checkbox"/> Others (any document notified by the central government) Id number of document provided _____ Expiry date if applicable _____	
D2. ARE YOU A TAX RESIDENT OF ANY COUNTRY OTHER THAN INDIA			<input type="checkbox"/> Yes <input type="checkbox"/> No
D2aa RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA			
ADDITIONAL DETAILS REQUIRED* (Mandatory only if section D2 is ticked Yes)			
Country & ISO 3166 Country code of Jurisdiction of residence		Tax identification Number or equivalent	Identification type (TIN or others, please specify)
			If TIN is not available, Please tick the A,B or C (As defined below)
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents. Reason B - No TIN required. (Select this reason only if the authorities of the respective country of tax residence do not require the TIN be collected) Reason C - Others; Please state the reason thereof.			
Any other information (KYC and CKYC)			
D. DECLARATION I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby consent to receiving information from the Central KYC registry through SMS/Email on the above registered mobile no / Email ID. Date: / / 202 Place: Mumbai			3SH 19SH
E. CERTIFICATION (FATCA-CRS): I / We have understood the information requirements of this Form (Read along with the FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA and CRS terms and conditions below and hereby accept the same Date : / / 202 Place: Mumbai.			4SH 19SH
FATCA *& CRS Terms and conditions			
Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. It is important that you respond to our request, even if you believe you have already supplied any previously requested information.			
FOR OFFICE USE ONLY: In Person Verification (IPV) Details:			
Name of the Person who has done IPV: _____ Designation: _____ Employee ID: _____ Name of the Organisation: VFC Securities Private Ltd Date of IPV: / / . (CKYC code of Organisation : IN1258)		Seal/Stamp and signature of the Intermediary	
<input type="checkbox"/> (Originals verified) True copies of documents received <input type="checkbox"/> (Self-Attested) Self Certified Document copies received			

PART-II ACCOUNT OPENING FORM FOR INDIVIDUAL DEMAT ACCOUNT-page 1

Participants Name: VFC SECURITIES PRIVATE LIMITED. 142 Shreeji Chambers, Opera House, Mumbai-4

DPID: I N 3 0 3 3 7 4 Client ID

I/We request you to open a Depository account in my/our Name as per the following Details (Please fill all the details in CAPITAL LETTERS ONLY) Date:

DETAILS OF ACCOUNT HOLDER

1.Name of Sole/ First Holder

Name of Father / Husband

Address(With CITY/Town/Village, State, Country, Pin Code)

City Pin, State, country Aadhar XXXX XXXX _ _ _ _

PAN Email Dob Mob

2.Name of Second Holder

Name of Father / Husband

Address(With CITY/Town/Village, State, Country, Pin

City Pin, State, country Aadhar XXXX XXXX _ _ _ _

PAN Email Mob

Name of Third Holder

Name of Father / Husband

Address(With CITY/Town/Village, State, Country, Pin)

City Pin, State, country Aadhar XXXX XXXX _ _ _ _

PAN E-mail Mob

Guardian Details (In case the Sole Holder is a minor, Two KYC must be filled. One for Guardian and Minor to be signed by Guardian)

Name of Guardian

Address(With CITY/Town/ Village, State, Country, Pin Code & Contact Details such as office Tel, Res. Tel, Mobile No etc)

Date of Birth (of Minor) Relationship (if any): PAN

IF SECOND OR THIRD HOLDER IS NOT REGISTERED WITH KRA, KINDLY FILL UP THE ADDITIONAL KYC FORM FOR THE RESPECTIVE HOLDER.

Type of account Ordinary Resident Qualified Foreign Investor Margin NRI-Repatriable NRI-Non Repatriable Foreign National Promoter Others(please Specify)

In case of NRI/Foreign Nationals RBI Reference No. RBI Approval Date:

BANK ACCOUNT DETAILS [Tick Only One As Main Bank (Otherwise First Bank will be considered as Default)]

Bank Name	Br. Address	A/c No.	A/c type - Savings, current/others	MICR Code	IFSC Code

5FH 19FH (Sole/First Holder)

5SH 19SH (Second Holder)

1TH 15TH (Third Holder)

PART-II ACCOUNT OPENING FORM FOR INDIVIDUAL DEMAT ACCOUNT-Page 2

Standing Instructions	I/We authorise you to receive credits automatically into my/our Account <input type="checkbox"/> Yes <input type="checkbox"/> No		
DDPI for Pay-in, Margin, Buy Back & MF	Account to be operated through Demat Debit & Pledge Instruction (DDPI) <input type="checkbox"/> Yes <input type="checkbox"/> No		
SMS ALERT FACILITY: (Mandatory if you are giving Demat Debit & Pledge Instruction (DDPI). Ensure that the mobile number is provided in the KYC Application Form)	Sole Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Second Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Third Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
Receive Annual reports, AGM notices & other Communications from issuers & RTA's in Physical Form	Sole Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Second Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Third Holder		<input type="checkbox"/> Yes <input type="checkbox"/> No
Mobile		Belongs to <input type="checkbox"/> Me <input type="checkbox"/> My Family	Relationship in case of family
Email		Belongs to <input type="checkbox"/> Me <input type="checkbox"/> My Family	Relationship in case of family
Mode of Receiving Statement (Tick one)	<input type="checkbox"/> Physical Form	<input type="checkbox"/> Electronic Form (Read note 4 and ensure that email ID is provided in KYC Application Form)	
Mode of Receiving Rights & Obligation between DP & B/O	<input type="checkbox"/> Physical Form	<input type="checkbox"/> Electronic Form	
For Joint Accounts, communication to be sent to (note 5)	<input type="checkbox"/> First Holder <input type="checkbox"/> All Joint Holders		
Mode of Operation for Joint Accounts	<input type="checkbox"/> Jointly <input type="checkbox"/> Any one of the Holder or Survivor(s)		
If Mode of operation for joint account is chosen as anyone of the holder or survivor(S), only specified operations such as transfer of securities including Inter-Depository Transfer, Pledge / hypothecation / Margi Pledge / Margin Re-pledge (Creation, Closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and /or Securities and/or specific number of securities will be permitted.			

NOMINATION FORM FOR INDIVIDUAL CLIENTS ONLY

I/We wish to make a nomination. [As per details given below] I/We do not wish to make a nomination.

I/We wish to cancel the nomination made by me/ us earlier and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me / us in the said account shall vest in me/ us. [Strike off the nomination details below]

I/we hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/Demat account and understand the issue involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/Demat account, which may also include documents issued by court or other such competent authority, based on the value of assets held in the trading / Demat account.

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me /us in the said beneficiary owner account in the event of my /our death.

							Additional Details	
	Name of Nominee	Nomi nee %	Relati ons	Postal Address	Mobile No & Email	Identity No.	DOB of Nominee	Gaurdia n

*Joint Accounts

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

**** to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1) I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

Name of nominee(s) _____ Nomination: Yes / No

2) I hereby authorize _____ (nominee number ____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to ____% of assets in the account / folio or Rs. _____. (Optional)

(strike off portions that are not relevant)

3) This nomination shall supersede any prior nomination made by me / us, if any.

4) Signature(s) – As per the mode of holding in demat account(s) / MF folio(s)

Name(s) of holder(s)	Signature(s) of holder / thumb impression	Signature of two witnesses*	Name of Witness & Address (wherever applicable)*
Sole/ First Holder (Mr./Ms.)	6FH 19FH		
Second Holder (Mr./Ms.)	6SH 19SH		
Third Holder (Mr./Ms.)	2TH 15TH		

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

NOTES

- Signature of Witness, along with names and address are required, if the account holder affixes thumb impression, instead of signature. This nomination shall supersede any prior nomination made by the account holder(s), if any.
- Thumb impression and signature other than Eng. Or Hindi or any of the other language not contained in the 8th schedule of the constitution of India must be attested by a magistrate or a Notary Public or a SEM.
- Instruction Related to nomination are as below:**
 - i) The Nomination can be made by individual holding beneficiary owner account on their own behalf singly or jointly. Non-Individual accounts (including society, trust, body corporate and partnership firm, karta of HUF, holder of POA) of any type cannot nominate. Holder of Power of Attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form. ii) A minor can be nominated. In that event, the name and address of the guardian of the minor nominee shall be provided by beneficial owner. iii) The Nominee(s) shall not be Trust, society, body corporate, partnership firm, Karta of HUF, or a power of Attorney holder. A Non-Resident Indian can be a Nominee, subject to exchange control in force from time to time. iv) Nomination in respect of the beneficiary owner account shall stand rescinded upon closure of the beneficiary owner account. Similarly the Nomination in respect of securities shall stand terminated upon transfer of securities. v) Transfer of securities in favour of Nominee shall be valid discharge by the depository and the participant against the legal heir. vi) The cancellation of nomination can be made by individuals only holding beneficiary owner account on their behalf singly or jointly by the same person who made the original nomination. Non-Individuals (including society, trust, body corporate and partnership firm, Karta of HUF, holder of POA) or holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form. vii) On cancellation of the Nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of Nominee. viii) Nomination can be made up to three nominees in a Demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total up to hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees. ix) On request of substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the form at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees. x) Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents.
 - xi) Savings bank account details shall only be considered if the account is maintained with the same participant.
 - xii) DPID and client ID shall be provided where Demat details is required to be provided.
- For receiving statement of account in electronic form i) client must ensure the confidentiality of the password of the email account. ii) Client must promptly inform the Participant if the email address has changed. iii) Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days' notice.
- In case of joint account, on death of any of the joint holder, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents within one year of the date of demise.
- In case if "First Holder" is selected, the communication will be sent as per the preference mentioned as mode of receiving statement above. In case "All Joint account Holder" is opted, communication to the first holder will be sent as per the preference mentioned above and communication to other holders will be in electronic mode. The default option will be communication to "First Holder", if No option is selected.
- Non-receipt of bounced mail notification by the trading member shall amount to delivery of contract note & Other Documents at the e-mail ID of the client.

PAST ACTION	Details of any action/proceedings initiated/pending/ taken by SEBI/Stock Exchange/ any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in Securities during the last 3 years:	(if YES kindly provide details)
--------------------	--	---------------------------------

Declaration: The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes herein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.

In case Non-Resident account, I/We also declare that I/We have complied and will continue to comply with FEMA Regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the beneficial owner and the depository Participant".

	Sole/First Holder	Second Holder	Third Holder	Guardian (for minor)
	Affix your photo & sign across Photograph	Affix your photo & sign across Photograph	Affix your photo & sign across Photograph	Affix your photo & sign across Photograph
NAME				
SIGN				
	7FH	19FH	7 SH	19SH
			3 TH	15TH
				1 GU

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____ **DP ID – IN 303374** Demat Client Code: _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Code, Designation. Dt.			
Signature			

I/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

8FH 19 FH		8 SH 19 SH		4TH 15 TH	
Date: / /202 .	Seal/Stamp of the Stock Broker & DP		Signature of the Authorised Signatory		

TRADING ACCOUNT RELATED DETAILS - Annexure - 3 for Individuals MANDATORY

DEMAT [Tick only one as main Demat A/c (or else First Demat A/c will be considered as Default)]

Depository Participant (DP) Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
<input type="checkbox"/>				
<input type="checkbox"/>				

Office details if Salaried / Employed / Professional / Business / Self-employed / Other

Office Name: _____ **Nature of Business:** _____

Address:

State: _____ **Country:** _____ **City:** _____ **Pin code:** _____

Tel No: _____ **Fax No:** _____

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 2018, SEBI (Depositories and Participants) Regulations, 2018, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of Demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

9 FH 19FH

9 SH 19SH

5 TH 15TH

Manner of Closure of Demat account

- 17.** The DP shall have the right to close the Demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days' notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ DE freezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.
24. The Joint holders are aware that in case of any Statutory Order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific Order for unfreezing their percentage of joint ownership by submitting the relevant documentary proof to the Order issuing authority

Redressal of Investor grievance

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of Twenty One days from the date of receipt of the complaint.

Authorized representative

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

10 FH 19FH

10 SH 19SH

6 TH 15TH

Option for opening Basic Services Demat Account (BSDA)

CLIENT ID _____

To,
VFC Securities Private Limited
DP ID – IN 303374
142, Shreeji Chambers,
Above PNB, Behind Prasad Chambers,
Opera House,
Mumbai - 400 004.

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

With reference to my / our application for opening a depository account, I/ We request you to open my / our depository account as a BSDA as per the following details:

	Name	PAN											
Sole/First Holder													
Second Holder													
Third Holder													

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/We at any point of time do not meet the eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account.

BSDA Account Required	<input type="checkbox"/> Yes	<input type="checkbox"/> No
------------------------------	-------------------------------------	------------------------------------

We Hereby request you to treat our above mentioned account as regular account for our operational convenience & Oblige.

We shall inform you as and when we wish to treat the above account as BSDA as per SEBI circular.

11FH 19FH (Sole/First Holder) **11 SH 19SH** (Second Holder) **7 TH 15TH** (Third Holder)

Signature(s) of Account Holder(s)

VFC SECURITIES PRIVATE LIMITED

Member : National Securities Depository Ltd. (NSDL)

142 Shreeji Chambers, Above PNB, Behind Prasad Chambers, Opera House, Charni Road, Mumbai – 400004.

Tel. No. 022- 40043395 / 022-35114958.

SEBI Regn. No. IN–DP–605–2021 DP ID NO. – IN 303374

SCHEDULE – A

CHARGES FOR BENEFICIARY OWNER ACCOUNT w.e.f. 01/04/2026

Note: this schedule is a part of the agreement and is to be signed and submitted

TYPE OF SERVICE	CHARGES
Account Opening & Closing	NIL
Account Maintenance	For Non- Corporate Account Rs. 600/- (NSDL –NIL) & Corporate Account Rs. 1000 (PA) including NSDL chgs of Rs. 500 Per Corporate PA.
BSDA Account (For Individual Accounts Only)	Holding Up to 4 lakhs – NIL, More than 4 lakhs but up to 10 lakhs – 100, More than 10 lakhs – Regular Charges as Not Eligible as BSDA.
Custody Charges	NIL
Demat & Remat Charges	Rs. 150/- per certificate each. INCLUDING NSDL DEMAT chgs NIL.NSDL RREMAT Charges for Remat a) Rs. 10/- for every hundred securities or part thereof subject to maximum fee of Rs. 5 lakhs or b) a flat fee of Rs. 10 per certificate whichever is higher.
Postage Courier	At Actual or Minimum Rs.75/- Per Demat request (whichever is Higher)
Transaction (Including Inter-Depository)	
Purchase	NIL
Sale	0.05% of sale value or minimum Rs. 25/- Per Script, including NSDL Charges of Rs. 4 per Debit Instruction.
OFF Market transaction	Rs. 100 per ISIN Transaction, including NSDL Charges of Rs. 4 per Debit Instruction.
Pledge Creation/Confirmation/closure	All Rs. 100/- Per ISIN.
Pledge Assure Confirmation	ABOVE Charges Includes NSDL charges as follows:
Pledge Invocation	NSDL Charges Normal Pledge Rs. 25 Per Instruction.
Failed Transaction	Margin Pledge initiation from Client To TM – Rs. 5 per Instruction Re Pledge from TM account to CM account – Rs. 1 per Instruction Re Pledge from CM account to CC account – Rs. 1 per Instruction Re Pledge Release from CM to TM account – Rs. 1 per Instruction Margin Pledge Release by CM/TM To Client A/c – Rs. 5 per Instruction Invocation By CM or TM – Rs. 5 per Instruction
(No NSDL fees for re-pledge release / Invocation by CC)	
Other Charges	A) Additional statement Rs. 25/- per page B) Cheque bouncing charges and ECS return charges “for want of funds” – Rs. 750/- OR AT ACTUAL CHARGED BY THE BANK C) Any other service not listed above will be charged on “actual” basis (upfront) D) Franking Charges at Rs.510 or at actual if DDPI is given by BO E) Rs. 150 per booklet for Misplaced / Lost DIS.
Securities borrowing / lending charges	Rs. 100/- per transaction
Interest on delayed payment of bills	Interest @ 13% p.a. for delayed payment of bills
GST	At applicable rate will be levied for all the above services

Expenditure incurred for services other than the ones listed above, will be charged on “actual” basis

Note: 1. The charges mentioned above will be recovered as and when due / regular intervals.

2. VFC reserves its right to change the rate structure at its discretion, by giving required notice however if charges are reduced, notice to the client may not be necessary. 3. Advance remittances towards future bills also will be accepted.

12FH 19FH (Sole/First Holder)

12SH 19SH (Second Holder)

8 TH 15TH (Third Holder)

DEMAT DEBIT & PLEDGE INSTRUCTION

DDPI FOR MARGIN, PAY IN, BUY BACK & MF OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

DATE:

UNIQUE CLIENT CODE															
BO ID															
NAME OF THE FIRST HOLDER															
NAME OF THE SECOND HOLDER															
NAME OF THE THIRD HOLDER															

To,

VFC Securities Private Limited

142, Shreeji Chambers,

Above PNB, Behind Prasad Chambers,

Tata Road No. 2,

Opera House, Mumbai – 400 004.

Sub: Execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards Deliveries / settlement obligations, Buy Back and pledging / re-pledging of securities as per SEBI circular SEBI/ HO/ MIRSD/ DoP/P/CIR/ 2022/ 44 dated April 4, 2022 & SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dated October 6, 2022 on Execution of Demat Debit and Pledge Instruction' (DDPI).

- I/We hereby execute Demat Debit and Pledge Instruction' (DDPI) in the favour of VFC Securities Private Limited and agree to authorize them to access my/our BO Account specified above for the limited purpose of settlement/margin/Buy Back obligation as specified below:

Sr	Purpose / Nature of Instruction	Client's Signature
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related Deliveries / Settlement obligations arising out of trades executed by Clients on the Stock Exchange through the same stock broker (TM).	<p>13FH 19FH</p> <p>1. _____</p> <p>13SH 19SH</p> <p>2. _____</p> <p>3. _____</p> <p>9 TH 15TH</p> <p>_____</p>
2.	Pledging /Re-pledging of securities in favour of trading member (TM) / Clearing member (CM) for the purpose of meeting <i>margin</i> requirements of the clients in Connection with the trades executed by the clients on the Stock Exchange.	<p>1. _____</p> <p>14FH 19FH</p> <p>_____</p> <p>2. _____</p> <p>14SH 19SH</p> <p>_____</p> <p>10TH 15TH</p> <p>3. _____</p> <p>_____</p>

3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	<p>15FH 19FH</p> <p>1. _____</p> <p>15SH 19SH</p> <p>2. _____</p> <p>11TH 15TH</p> <p>3. _____</p>
4.	Tendering shares in open offers through Stock Exchange Platforms	<p>1.</p> <p>16FH 19FH</p> <p>2.</p> <p>16SH 19SH</p> <p>3.</p> <p>12TH 15TH</p>

2. List of Beneficial Owner Accounts that the Member is entitled to operate with DDPI are as follows*:

1.	NSE & BSE – NSDL TMCM POOL A/C	IN 564203
2.	NSE & BSE – CDSL TMCM POOL A/C	1601010000228250
3.	NSE & CLIENT MARGIN PLEDGE ACCOUNT	IN 303374 - 10008662
4.	NSE & BSE – NSDL TM POOL A/C	IN 547990, CMID 50302

“Any modification, deletion or addition in the above account shall be intimated to me/ us separately”.

3. The authorization provided by DDPI shall be applicable for all transactions specified herein from the date of applicability as specified by SEBI/ Exchange.

	First Holder	Second Holder	Third Holder
Signature	17FH 19FH	17SH 19SH	13FH 15TH

If HUF, Co-Parceners Signature:

1) _____ 2) _____ 3) _____

AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this ____ day of _____ 202 , at Mumbai, between

1) _____ 2) _____ 3) _____

Situated at _____ (hereinafter called "the Client") and VFC SECURITIES Private Limited situated at 142 Shreeji Chambers, Above PNB, Behind Prasad Chambers, Opera House, Mumbai - 400004.

18FH 19FH

18SH 19SH

14TH 15TH

Witnesseth

WHEREAS the Client has permitted the Participant to provide the transaction statement through internet (web-based and / or email).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and /or email), both the parties to t he agreement hereby covenant and agree as follows:

- I. The Participant shall install adequate system to restrict the access of the transaction statement only to the Client.
- II. The Client shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password.
- III. The Client is aware that the transaction statement may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- IV. In case the Client opts for transaction statement through Email, it shall immediately inform the participant about change Email address, if any. The Participant shall verify and confirm with the client about the change. Further, the participant shall confirm with the client about validity of the Email address at least once in year.
- V. The Client agrees not to receive transaction statement in paper form from the participant. Provided however that, in Case the participant is not able to provide transaction statement to its Client through internet (Web-based / E-mail) due to any unforeseen problems, the participant should ensure that the transaction statement reaches to tile Client in physical form as per the time schedule stipulated in the bye laws & business rules of NSDL.
- VI. The Participant and the Client shall have the right to terminate this agreement after giving a notice of at least 10 days to the party.
- VII. The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the Client at the time of opening the account with the Depository.

IN WITNESS WHEREOF the participant and the client have caused these presents to be executed as of the day and year first above written.

Signed and delivered by

19 FH 19FH (Sole/First Holder)

19SH (Second Holder)

15TH (Third Holder)

(For and on behalf of the client)

Witness name and signature

IN THE PRESENCE OF

Signed and delivered by
For VFC Securities Private Limited

B2 B3

D Director

Witness name and signature

Acknowledgement

Dear Sir,

I / we confirm that I / we have opened **DEMAT ACCOUNT in NSDL** with M/s VFC Securities Private Limited and for which I / we have received following documents.

- A) KYC Form for all the holders
- B) Client Master List.
- C) Rights and Obligations of BO and DP as prescribed by SEBI and DEPOSITORIES.
- D) BSDA Form
- E) DDPI for pay-in of securities, margin pledge, Buy back & MF purpose.
- F) Agreement For Providing The Transaction Statement Through Internet
- G) Copy of Demat / Tariff Charges
- H) Copy of Investor Charter
- I) My/ our Delivery Instruction Slip bearing distinctive No. _____ To _____.

20FH XXXXXXXXXX

Signature of the Client

Trading code no. _____

Client ID for DP: _____

Date: / / 202

Place: Mumbai.

Acknowledgement

VFC Securities Private Limited

142 Shreeji Chambers, Above PNB, Behind Prasad Chambers, Opera House,

Mumbai-400004.

DP ID- IN 303374

Received The application From Mr. /Ms _____ as the sole/First Holder along with _____ and _____ as the second and Third Holder respectively for opening of a Depository account. Please quote the DPID and CLIENT ID allotted to you in all your future correspondence.

For VFC Securities Private Limited

Date:

Authorised Signatory